



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,568	12/18/2000	Frederik M. DeWolf	T7900-10

CONFIRMATION NO. 6323

FORMALITIES LETTER



OC000000005805648

Technology, Patents and Licensing, Inc.
340 North Broad Street
Doylestown, PA 18901

Date Mailed: 02/27/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above. The items noted below must be filed no later than TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION.

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$387.
 - \$387 for 43 total claims over 20.
- The oath or declaration is missing.
- The balance due by applicant is \$ 742.

05/03/2001 SLUANG1 00000019 501487 09740568

02 FC:201 355.00 CH
03 FC:203 387.00 CH
04 FC:205 65.00 CH

A copy of this notice MUST be returned with the reply.

Michael Duong

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 977.00)

Complete if Known

Application Number 09/740,568
Filing Date 18 DECEMBER 2000
First Named Inventor DEWOLF
Examiner Name UNKNOWN
Group Art Unit UNKNOWN
Attorney Docket No. T900-10

RECEIVED
MAY 04 2001
OFFICE OF PETITIONS
DEPUTY AC PATENTS

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 501487
Deposit Account Name Technology, Patents and Licensing, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	0.00
107	490	207	245	Plant filing fee	0.00
108	710	208	355	Reissue filing fee	0.00
114	150	214	75	Provisional filing fee	0.00

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims 63
Independent Claims 3
Multiple Dependent Claims 60

Extra Claims Fee from below Fee Paid
63 - 20** = 43 x 9.00 = 387.00
3 - 3** = 0 x 40.00 = 0.00
135.00 = (0.00)

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
01	18	203	9	Claims in excess of 20
02	80	202	40	Independent claims in excess of 3
04	270	204	135	Multiple dependent claim, if not paid
09	80	209	40	** Reissue independent claims over original patent
18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 387.00)

** number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for ex parte reexamination	0.00
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	390	216	195	Extension for reply within second month	0.00
117	890	217	445	Extension for reply within third month	0.00
118	1,390	218	695	Extension for reply within fourth month	0.00
128	1,890	228	945	Extension for reply within fifth month	0.00
119	310	219	155	Notice of Appeal	0.00
120	310	220	155	Filing a brief in support of an appeal	0.00
121	270	221	135	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,240	241	620	Petition to revive - unintentional	0.00
142	1,240	242	620	Utility issue fee (or reissue)	0.00
143	440	243	220	Design issue fee	0.00
144	600	244	300	Plant issue fee	0.00
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	0.00
126	180	126	180	Submission of Information Disclosure Stmt	0.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
148	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179	710	279	355	Request for Continued Examination (RCE)	0.00
169	900	169	900	Request for expedited examination of a design application	0.00
				Other fee (specify)	0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 235.00)

SUBMITTED BY

Name (Print/Type) Douglas J. Ryder

Signature

Registration No. (Attorney/Agent) 43,073

Complete (if applicable)

Telephone (215) 340-6900

Date

4/27/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Business Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



SECTOR1 #

CERTIFICATE OF MAILING (37 CFR 1.8(A))

Hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

27 April 2001

Laura J. Kelly
Laura J. Kelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/740,568	FILING DATE 18 DEC 2000	FIRST NAMED INVENTOR DEWOLF	ATTY. DKT. NO. T900-10
TITLE GLOBAL ASSET INFORMATION REGISTRY		ART UNIT UNKNOWN	EXAMINER UNKNOWN

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

- ☒ Fee Transmittal Form ☐ Check for \$
☐ Amendment / Response ☐ After Final
☐ Petition for Extension of Time
☒ Petition to Commissioner
☐ Information Disclosure Statement ☐ Form PTO-1449
☒ Notice to File Missing Parts of Application/Incomplete Application
☐ Express Mail Certificate
☒ Applicant Claims Small Entity Status. See 37 CFR 1.27.
☒ Other: Copy of Return Receipt Postcard (1p.)
Copy of Drawings (10pp.)
Assignment and Cover Sheet (4pp.)

Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice of Incomplete Non-Provisional Application mailed February 27, 2001.

Dated:

4/27/01

Technology, Patents and Licensing, Inc.
340 North Broad Street
Doylestown, PA 18901
(215) 340-6900

Douglas J. Ryder
Signature
Douglas J. Ryder, Reg. No. 43,073



27833

PATENT TRADEMARK OFFICE



Assistant Commissioner for Patents
Washington, D.C. 20231

18 December, 2000
T900-10

Applicant : DEWOLF, ET AL.
Appln. No. : NEW APPLICATION Filed : NEW APPLICATION
Title : GLOBAL ASSET INFORMATION REGISTRY

Kindly acknowledge receipt of the document(s) indicated below by affixing your date stamp:

1. Patent Application Transmittal (1p.)
2. Specification (68pp.)
3. Claims (13pp.)
4. Abstract (1p.)
5. Drawings (10pp.)
6. Certificate of Express Mail (1p.)

Client/Ref:	Atty	SC/D
Action	Due	SC/D
Action	Due	SC/D
Action	Due	SC/D
Docketed	By	
Docketed	By <i>hmt</i>	

Assistant Commissioner for Patents
Washington, D.C. 20231

18 December, 2000
T900-10

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